

# Avoiding flashover in baluns and ATUs

Owen Duffy

## Abstract

This article presents a method of designing antenna feed systems to avoid flashover in baluns and ATUs.

## 1. Introduction

A very popular antenna for multiband use is a centre fed dipole with open wire feed line to a balun/ATU.

Though there is not a requirement for a specific feed line length, some feed line lengths may result in a load that the ATU is challenged to match efficiently or at all, or that cause extreme voltages in the balun or ATU leading to flashover and possibly permanent damage to components.

For many implementing this type of antenna system, the traditional advice is to use as little feed line as comfortably reaches from antenna feed point to the balun/ATU, and if problems occur with matching or flashover on some bands, to lengthen the feed line a little and try again.

That approach suits many, but it might suggest that what is happening is somewhat haphazard and unpredictable. That is not true, and this article explains the problem and a method of designing to avoid flashover problems for those interested.

## 2. The method

This method assumes that the balun/ATU does not consume significant power, even at extreme voltages. That assumption is reasonable for good Guanella 1:1 current baluns, but does not necessarily apply to voltage baluns, particularly those with ferrite cores.

The method involves 6 steps as follows.

1. Identify transmission line characteristic impedance and loss parameters
2. Rate the safe withstand voltage of system components
3. Minimum load conductance at a critical component
4. Chart the safe conductance zone on a Smith chart
5. Plot the feed point impedance and draw the VSWR circle
6. Find the limits on acceptable line length

## 2.1 Identify transmission line characteristic impedance and loss parameters

For example, let's use  $Z_0=400\Omega$  (the actual characteristic impedance of popular commercial nominal  $450\Omega$  lines).

## 2.2 Rate the safe withstand voltage of system components

Manufacturer's may publish maximum voltage ratings for ATUs and baluns. You need to ascertain whether they are quoting the instantaneous peak voltage or RMS. Beware though, manufacturers / sellers of ham gear are known to be quite extravagant in their specifications.

In the absence of realistic manufacturers ratings, you will need to guesstimate the values.

The T match tuner is very popular, so let's discuss it. A rationally designed commercial product is probably limited by voltage breakdown of the variable capacitors, and for some loads, the voltage across one capacitor is likely to be close to the output terminal voltage.

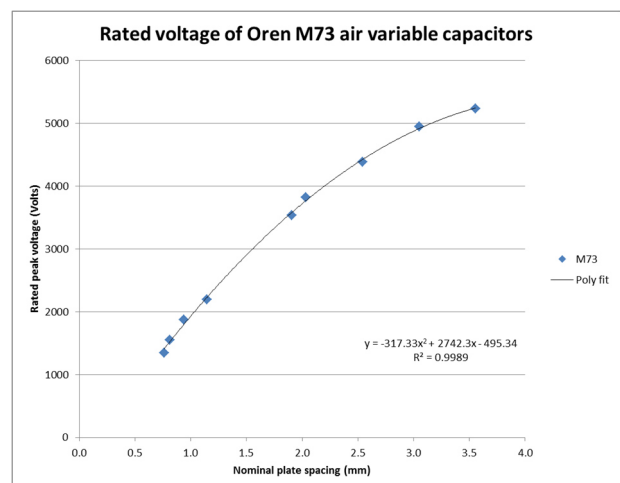


Figure 1: Oren M73 series capacitors.

Figure 1 is a plot of Oren's peak voltage rating of the M73 series variable capacitors against nominal plate spacing, and a polynomial curve fit. This data could be used to estimate the breakdown rating of a quality capacitor from

measurement of the nominal spacing. Note that play in bearings, poor plate to plate spacing etc all degrade the breakdown rating. Whilst the voltage rating might not seem to reconcile with [Pashen's law](#), it takes much more into account.

For example, a nominal plate spacing of 1mm indicates a peak voltage rating of about 2000V.

Rating the insulation between windings in a balun is more difficult. Baluns often depend only on the enamel on enamelled copper wire (ECW). There are different grades of enamel, but this type of construction does not achieve the highest breakdown voltage. Wires in PTFE sleeve, PTFE insulated flexible wires, or coaxial cable, especially larger and PTFE cables usually have better withstand. Wrapping ECW in plumber's PTFE thread tape seems quite a waste of time, it will cut through under quite light physical pressure.

ECW might be good for up to 500V between conductors, Thermaleze or the like might be good for 2000V or more, PTFE sleeved wires will usually quite exceed that.

(Note: Belden 8073 specifications for #14 wire rate the Thermaleze insulation at greater than 6000V at room temperature, and no temperature de-rating is provided. Wire to wire, that suggests better than 12000V, but it is hard to reconcile with anecdotal evidence of balun failures. Many balun manufacturers are using PTFE sleeving rather than relying on Thermaleze wire for high voltage withstand.)

### 2.3 Minimum load conductance at a critical component

Loads are often expressed as an equivalent complex impedance (Z) of some resistance in series with some reactance, often expressed in complex notation as  $R+jX$ .

The load can also be described as an admittance (Y) which is the inverse of impedance,  $Y=1/Z$  (remembering that Z and therefore Y are complex quantities, they have real and imaginary components. Admittance is if you like, a parallel equivalent circuit,  $Y=G+jB$  where G is the conductance and B is the susceptance.

You know that the real power in a series circuit is given by  $P=I^2 \cdot R$ . We can also say that real power in a parallel circuit is given by  $P=V^2 \cdot G$ .

Let's rewrite that last expression in terms of  $V_{pk}$  instead of  $V_{rms}$  (which is implied by the notation) for a sine wave,  $P=V_{pk}^2 / 2 \cdot G$ .

Rearranging the last expression and writing in terms of maximum allowable  $V_{pk}$  (ie maximum safe working voltage),  $G_{min} = 2 \cdot P_{max} / V_{max}^2$  ( $V_{max}$  being the maximum instantaneous voltage allowable).

For example, if we have determined that  $V_{max}$  is 2000V and  $P_{max}$  is 1000W, then  $G_{min}$  is  $2 \cdot 1000 / 2000^2 = 0.0005S$ .

This is an important parameter, if the load conductance component at a point in a transmission line is less than  $G_{min}$ , the differential voltage will exceed the safe working voltage at the required maximum power level.

### 2.4 Chart the safe conductance zone on a Smith chart

A Smith chart is an ideal tool for visualising the design problem. The safe conductance zone inside the  $G_{min}$  value or 0.0005S in our example is easily plotted in the Smith Chart.

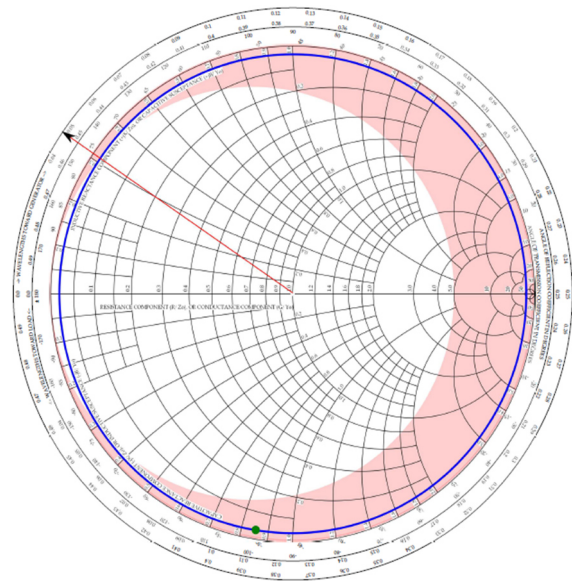


Figure 2: G5RV length dipole at 80m.

Figure 2, the boundary between the white area inside the chart and the pink zone is the normalised  $G_{min}$ ,  $G'=0.2$ . You could use Smith chart stationery that has admittance circles shown, or do as I have done in using a compass to lay off the distance from the chart centre to  $R=0.2$ ,  $X=0$  in the opposite direction from the centre so converting to  $G=0.2$  (and  $B=0$ ). You can then construct the critical admittance circle by placing the compass point at a point midway between the point last marked ( $G=0.2$ ,  $B=0$ ) and the left hand end of the  $X=0$  axis ( $R=0$ ,  $X=0$ ) and drawing a circle that passes through both of those points.

We have now charted the no-go zone, the area outside the critical  $G_{min}$  circle.

Note that if  $VSWR < 1/G_{min}'$ , the entire VSWR circle falls within the safe area. In this case, if  $G_{min}' = G_{min} / Y_0 = 0.005 / 0.0025 = 0.2$ , then if  $VSWR < 1/0.2$  or  $< 5:1$ , then the entire VSWR circle is in the safe zone and voltage is safe at any line length. This condition can also be written as  $VSWR < 1 / (Z_0 \cdot G_{min})$ .

For the example where  $Z_0$  is 400Ω and  $G_{min}$  is 0.0005S, if line  $VSWR < 1 / (400 \cdot 0.0005) < 5$  then  $V_{max}$  is not

reached at any line length, and conversely if  $V_{SWR} > 5$ ,  $V_{max}$  is reached at some line lengths.

## 2.5 Plot the feed point impedance and draw the VSWR circle

For this example, let's take a G5RV length dipole at 80m, and feed point impedance to be  $10 - j340\Omega$  at 3.6MHz.

Normalise this Z to plot on the  $400\Omega$  chart, so  $Z' = 0.025 - j0.85$  and plot the point. It is the green dot on Fig 2.

Now placing your compass point on the chart centre, and adjusting radius to the green point, draw in the constant VSWR circle shown in blue in Fig 2. This circle charts the combinations R and X (and G and B) along a lossless  $400\Omega$  transmission line. (The line for a lossy transmission line is actually a spiral, but the difference for low loss lines is not significant at this point.)

## 2.6 Find the limits on acceptable line length

To stay in the safe voltage zone, the transmission line must end somewhere in the white zone in Fig 2. Reading from the chart, one bound on acceptable length is marked by the red line at  $0.048\lambda$  beyond a current maximum, and there is a similar zone the other side of the current maximum.

So, the first current maximum occurs at  $0.11\lambda$  from the load, so acceptable length is from  $0.11 - 0.048$  to  $0.11 + 0.048 = 0.062\lambda$  to  $0.158\lambda$ , and these zones repeat every half wavelength. Allowing for velocity factor, these translate to 4.66m to 11.88m. The zone from 11.88m to the next safe zone starting at 42.24m is a no go zone. A random length of line has a 30% probability of being suitable!

## 3. Allowing for line loss

Line loss can be allowed for in the standard way for Smith chart operations. The line loss for the particular length is found, and the point on the VSWR circle is adjusted towards the centre by the required distance. The loss of most practical lines is so low that there is little improvement in accuracy of the graphical solution. A better way to take line losses into consideration is an analytical solution using a calculator of some kind.

## 4. Using calculators

Solving this problem often involves working in the extreme outer margin of the Smith chart, and the accuracy of graphical solution is not good. Calculators can provide much better answers.

## 4.1 TLLC /TWLLC

Calculators can be used to arrive at the same information. Let's walk through [TLLC](#) on the above example. Taking Wireman 551 line,  $Z_{load}$  to be  $10 - j340\Omega$  at 3.6MHz, and  $G_{min}$  to be  $0.0005S$ , it can be found from repeated trials seeking  $Y_{in} = 0.0005 + jB$  that the upper limit of the first safe zone is 12.50m (as opposed to 1.88m from the simpler analysis above).

The same technique can be used with [TWLLC](#) for user specified open wire lines.

## 4.2 4NEC2

A model in 4NEC2 can be built including TL elements to represent the transmission line. Note that TL elements are lossless lines. 4NEC2 calculates and displays a parallel equivalent circuit in terms of  $R_p$  and  $X_p$ , and the critical value of  $R_p$  is  $1/G_{min}$ , so in the example above  $R_p$  must be less than  $1/0.0005 = 2000\Omega$ . The length of the TL element (or the dipole) can be varied to obtain a sufficiently low  $R_p$ .

## 5. FAQ

### 5.1 What about voltage baluns?

A voltage balun's loss can be represented as a equivalent shunt admittance, and the G component may be relatively high. Whilst that appears in parallel with the admittance looking into the line, and so adds to it, increasing G, it does so at the expense of system efficiency. You could measure the voltage balun and factor it in, but a better idea for extreme voltage is to not use a voltage balun, use a current balun with adequate insulation.

Having measured some popular voltage baluns to have equivalent shunt G as high as  $0.0003S$  at some frequencies within their specified working range and capable of perhaps 20W of dissipation, and since  $P = V_{pk}^2 / 2 * G$ , they are not suited to a peak voltage of more than about  $(2 * P / G)^{0.5} = (2 * 20 / 0.0003)^{0.5} = 365V_{pk}$  continuously at those frequencies. Using modes where average power is much less than PEP (eg SSB), higher voltages may be sustainable without exceeding safe dissipation.

### 5.2 Do flashovers cause permanent damage?

Some insulation materials are self healing (eg the air dielectric capacitor), but some are not. It is best to avoid any arcing because it may lead to permanent damage. Even in the case of air dielectric capacitors, whilst the dielectric may self heal, an arc may cause damage to the metal surface which makes arcing at that spot easier in the future.

### 5.3 Does a 4:1 current balun help?

A 4:1 balun means that  $G_{min}$  on the antenna side of the balun is four times that on the other side (so long as the balun can withstand the voltage implied). That extends the safe zone, but it does so at the expense of ATU efficiency on lower impedance loads that occur closer to the current maximum.

### 5.4 Is $Z_o$ important?

Yes, there is an optimal  $Z_o$  for a given load. The  $Z_o$  that gives minimal VSWR on the line will give a lower voltage maximum. For the example load  $10-j340\Omega$  above,  $Z_o$  around  $330\Omega$  gives the lowest VSWR (~59) and the lowest magnitude voltage maxima. Lines of higher or lower  $Z_o$  will give rise to larger voltage maxima.

### 5.5 Isn't this all just a G5RV problem?

A G5RV length dipole was used in the example above to demonstrate the effect, partly because it is not so extreme as to be impossible to read off the Smith chart.

Another popular multiband antenna is to take a 133' centre fed dipole with open wire feed (Ford 2004). It is prone to quite the same problem, though perhaps not as severe, depending greatly on the choice of  $Z_o$ . It may be that using  $300\Omega$  feed line does not give rise to critically high voltages whereas  $600\Omega$  line may at some lengths.

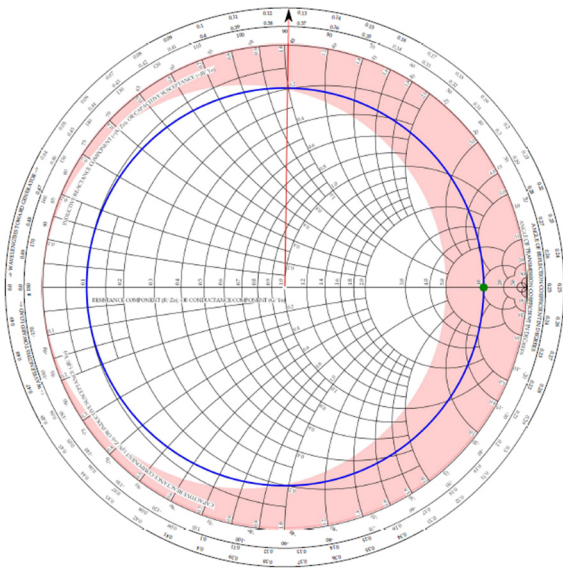


Figure 3: 133' dipole on 40m with  $400\Omega$  feed line.

Figure 3 shows the case of the 133' dipole on 40m with  $400\Omega$  feed line. The 133' centre fed dipole with open wire feed is not the no-brainer multiband antenna often recommended. Not only does operation in the pink zone cause high voltages, but it may challenge the ATU. In his article, Ford warns of the problem and offers a solution. You may discover that you cannot achieve an acceptable SWR on some bands, no matter how much you adjust the tuner. Changing the length of the feed line may resolve

this problem. Changing the feed line length may result in operation outside the pink area.

### 5.6 Isn't the Rule of Thumb to always feed at a current maximum best?

Feeding at a feed line current maximum means you are feeding at a voltage minimum. That may necessitate an unnecessarily long line, extra line loss, worse ATU loss, and may be impossible for a whole range of worthwhile multi band dipoles. It might seem easiest, but it certainly is not "best" overall.

### 5.7 Is the "odd eighth wavelength" feed line a solution?

No, not for all cases.

Taking the example load used above of  $10-j340\Omega$ , fed with  $3/8\lambda$  of Wireman 551, [TLLC](#) gives  $Y_{in}=0.000067+j0.000202S$ . At 1kW,  $V_{pk}=(2*P/G)^{0.5}=(2*1000/0.000067)^{0.5}=5460V_{pk}$ .

### 5.8 Would this idea work for a 102ft doublet?

AK4YA asked at (eham 2012) Would this idea work for a 102ft doublet? He proposed to use 100' of nominal  $450\Omega$  ladder line to feed a 102' centre fed dipole. Among the responses, WX7G regurgitated the common ham advice [t]he correct length of ladder line is whatever gets from the antenna to the antenna tuner. This shallow advice ignores amongst other things, the voltage that might be developed at the ATU/Balun. Taking for example the dipole feedpoint impedance at 3.6MHz to be  $10-j340\Omega$ , and using [TLLC](#) to find the input admittance of 100' of Wireman 551,  $Y_{in}=0.000072+j0.000703S$ . At 1kW,  $V_{pk}=(2*P/G)^{0.5}=5300V$  which would challenge most ATUs and Baluns.

Conversely, taking for example an MFJ949E ATU with 1000V capacitors, the maximum safe power level would be  $P=V_{pk}^2/2*G=1000^2/2*G=36W$ , way less than its 300W rating.

So in answer to AK4YA's question, that configuration will present extreme voltage at the input to the feedline on the 80m band, challenging both ATU and Balun.

## 6. Acknowledgements

My thanks to Jim Lux and Tom Rauch for discussions during the workup of this article.

## 7. Links / References

- eHam discussion. Sep 2012. Would this idea work for a 102ft doublet?

<http://www.eham.net/ehamforum/smf/index.php/topic,85343.0.html> (accessed 20/09/12).

- Ford, S. March 2004. The Classic Multiband Dipole Antenna In QST Nov 2011: 40-41.

## 8. Changes

Version	Date	Description
1.01	04/07/2011	Initial.
1.02	30/06/2016	Converted to Word
1.03		
1.04		
1.05		

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